



CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Samuel J. DuBoff

Type or print name

Daniel Ja Welfor

November 5, 2004

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

PATEL, ET AL

APPLICATION NO: 10/661,893 FILED: SEPTEMBER 12, 2003

FOR: STEREOSELECTIVE REDUCTION OF SUBSTITUTED OXO-

BUTANES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant believes this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-3880.

In accordance with 37 C.F.R. §1.56, applicant wishes to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

These listed references were cited in a corresponding Japanese Official Action (see Nakamura & Partners letter of September 7, 2004). Copies of these references and the Japanese Official Action are enclosed herewith.

CASE GY56(CT-2445)CNT

The Japanese Patent cited reference D4, JP-A-6-233695 corresponding to U.S. Patent 5,391,495, was previously submitted in an Information Disclosure Statement dated January 21, 2004 for this case.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Samuel J. DuBoff

Reg. No. 25,969

Attorney for Applicants

Bristol-Myers Squibb Company Patent Department P.O. Box 4000 Princeton, NJ 08543-4000 (203) 677-7787

FORM PTO-1449 (REV. 7-85) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Seeveral sheets if necessary)

SUPPLEMENTAL INFORMATION DISCLOSURE CITATION

ATTY. DOCKET NO.
GY56(CT2445)CNT
APPLICATION NO.
10/661,893
APPLICANT
PATEL, et al
FILING DATE
SEPTEMBER 12, 2003

Group

DEMARK OFFICE	,		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLA	ASS SUBCLA	iss i	ILING DATE
Α	Ā							
A	В							
A	C							
А	D/D							
А	Æ							
А	·F							
Α	G							
А	Н							
А	ı I							
А	IJ							
Α	ĸ							*****
А	\L							
			FOREIG	N PATENT DOCUMENTS		<u> </u>		
		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	SUBCLASS TRANSL	
A	M	JP-A-9-285	1/7/97	Jap. PatEnglish Equivalent US Patent 5,726,047				NO D

	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRAN YES	SLATION
 		ļ				169	NO_
AM	JP-A-9-285	1/7/97	Jap. PatEnglish Equivalent US Patent 5,726,047				
AN	JP-A-2-295970	12/6/90	Jap. PatEnglish Abstract Equivalent				
AO	JP-A-2-295969	12/6/90	Jap. PatEnglish Abstract Equivalent				
AP	JP-A-11-103878	4/20/99	Jap. PatEnglish Abstract Equivalent				
AQ	JP-A-61-108394	5/27/86	Jap. PatEnglish Abstract Equivalent				
AR	JP-A-9-289897	11/11/97	Jap. PatEnglish Equivalent US Patent 5,841,001				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AS		
	АТ		
EXAMINER		DATE CONSIDERED	

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.